



Determining the ultimate beneficial Owner of the Entities in Accordance with art. 3 Sect. 1 letter a of the Due Diligence Ordinance

(Form T) inkl. tax-relevant details _____

Entity or Account holder

Account number

If there is more than one person who gets a distribution, each is required to fill out a separate form.

The following person has been identified as the beneficial owner as referred to in Article 3(1)(b) Due Diligence Ordinance:

- a natural person who is the effective, non-fiduciary founder or settlor
- a natural or legal¹ person who is a member of the foundation council or board of directors or who serves as a trustee
- a natural person who is a protector or performs a similar or equivalent role
- a natural person who is a beneficiary

a natural person who ultimately controls the legal entity as a result of direct or indirect ownership rights or by some other means

a legal entity¹ who is a beneficiary and who meets the requirements of Article 2(1)(b) of the Liechtenstein Due Diligence Act (DDA; Sorgfaltspflichtgesetz – SPG). The contracting partner must provide the appropriate evidence.

Name¹

Date of birth

First name

Place of birth

Strasse

State of birth

Postcode, Town

Passport / ID-No

Country

Issued by

Nationality / ies

Country of issue

Phone

Email or Fax

Discretionary type entity that was set up or is operated in the main interest of the following class of persons:

According to the investigations, the before mentioned persons (group of people) are persons who currently or formerly (until _____) hold / held an important position (in government, business, the civil service, political parties, the military etc.) or who are / were closely connected with a person holding such a position on family, personal or business grounds (family members etc.)

Yes, details provided on a separate form entitled "Declaration of politically exposed Persons"

No



Supplementary section of the form T _2|3 pursuant to Article 3(1)(b) of the Liechtenstein Due Diligence Ordinance

Based on Article 4a(1)(b) and Article 4a(2) of the
Liechtenstein Ordinance concerning International
Automatic Exchange of Information in Tax Matters ____

The purpose of this supplementary section is to determine the tax residency of the controlling persons of a passive NFE or of an investment entity located in a non-participating jurisdiction and managed by another financial institution.

Declaration of Information relevant for Tax Purposes

Please list in the following table all countries of residence for tax purposes of the individuals mentioned in Form T together with the associated tax identification numbers (TIN). Where no information is provided, the entity expressly confirms that the country of residence stated in Form T is its sole country of residence for tax purposes. In these circumstances too, the entity must provide the Bank with a TIN or, if a TIN is unavailable, indicate the reason for this unless the individuals mentioned in Form T are not reportable persons.

Countries of Residence for Tax Purposes (please list all countries)	TIN (Taxpayer Identification Number)	Reason why TIN is not available



Declaration

The entity acknowledges that it will be obliged to submit the form "Identification of the ultimate beneficial owner of legal entities" in accordance with Article 3(1)(a) of the DDO (Form C) and the supplementary section of this DDO form to the Bank within 90 days or by the end of the calendar year, whichever date is later, if any of the information provided in this supplementary section changes.

The entity acknowledges that, where the relevant international and national statutory provisions are satisfied, the Bank may be obliged to report information about the entity and its banking relationship(s) with the Bank, and about its controlling persons, to the Liechtenstein tax authority to be passed on to the relevant tax authorities of the country, or countries, of residence for tax purposes.

The entity confirms that the information provided in this supplementary section is, to the best of its knowledge, complete and correct.

The entity acknowledges that the deliberate or negligent provision of false information in this supplementary section, failure to provide information about a change in circumstances and the provision of false information in connection with a change in circumstances are punishable offences under Article 29 of the Act concerning International Automatic Exchange of Information in Tax Matters (AEOI Act; *Gesetz über den internationalen automatischen Informationsaustausch in Steuersachen – AIA-Gesetz*).

By signing this supplementary section, the signatory confirms that he / she is authorized to represent the entity.

In accordance with Article 4a(2) of the AEOI Ordinance, the legal entity confirms that the contents of this supplementary section comply precisely with the provisions of Article 4a(1)(b) and (2), Appendix 4 of the AEOI Ordinance.

Place, Date

The entity's authorized signatory (signatories)

Unterschrift

Name(s) of the entity's authorized signatory (signatories)
in block letters

¹ It is sufficient to nominate a legal entity only in the case of:
– a legal entity who is a member of the foundation council or board of directors or who serves as a trustee
– beneficiaries for whom the contracting partner provides evidence of entity status under the terms of Article 2(1)(b) DDA
Deliberately providing false information on this form constitutes a criminal offence under the Liechtenstein Criminal Code (Strafgesetzbuch). Any changes must be immediately notified to the person subject to due diligence.